

**Terms and Conditions Governing the World Cup Special Lucky Draw**

- 1) Employees, Remisiers and Account Executives of OCBC Securities Private Limited (OSPL) and their immediate family members are not eligible to participate in the Lucky Draw ("Lucky Draw").
- 2) The Lucky Draw qualifying period is between 16 June 2014 and 15 July 2014, both dates inclusive (the Qualifying Period).
- 3) Subject to these terms and conditions, the Lucky Draw only applies to new Trading Accounts opened during the Qualifying Period or such other periods as may be determined by OSPL at its absolute discretion.
- 4) To qualify for the Lucky Draw, the following conditions must be fulfilled:
  - (a) The participant must be an attendee (the "Attendee") at any Trading Sense Workshops held between the Qualifying Period.
  - (b) The new Trading Account is successfully opened with OSPL within the Qualifying Period. In this regard, all applications (which must be correctly completed and submitted together with all correct required supporting documents) must be approved by OSPL and the new Trading Account must be opened within the Qualifying Period.
  - (c) Corporate accounts are not eligible for this Promotion. Only individual and joint Trading Accounts opened by retail customers are eligible for this Promotion.
- 5) Subject to these terms and conditions, and provided that the conditions set out in Clause 4 are fulfilled, each Attendee will be entitled to one entry for the Lucky Draw and each new Trading Account will be entitled to three (3) entries for the Lucky Draw.
- 6) The Lucky Draw will be conducted at 6pm on 1 August 2014 at 18 Church Street, #01-00, OCBC Centre South, Singapore 049479, or on such other date or time or at such other venue as may be determined by OSPL at its sole discretion. The Lucky Draw is a non-electronic draw, OSPL and its officers will conduct the Lucky Draw.
- 7) The Lucky Draw has eight (8) winners (the "Winner") who will each win one (1) prize (the "Prize") of Sony Wireless NFC Speaker SRS-BTV5 FIFA Edition
- 8) The Winners will be published on the OSPL website on 2 August 2014, or such other dates as OSPL may decide at its sole discretion. The Winner will also be notified by ordinary post based on the address in OSPL's record on the following working day of the Lucky Draw.
- 9) In the event the entry drawn to win the Prize belongs to the holders of a Joint Trading Account, the main applicant of the Joint Trading Account J shall be declared the sole winner of the Prize.
- 10) The customers will be disqualified for the Lucky Draw if:
  - (a) His/her own Trading Account opened with OSPL is closed within the Qualifying Period;
  - (b) Any of his/her account(s) with OSPL is reported for delinquency; or
  - (c) He/she has outstanding contra losses which are overdue for more than 21 calendar days.

- 11) OSPL is entitled to reject any trading account application without any obligation to furnish any reasons for such rejection. Submission of new trading account application (electronically or otherwise) does not imply approval for the opening of a trading account with OSPL.
- 12) If any customer is subsequently discovered to be not entitled or ineligible to participate in the Lucky Draw or to receive the Prize, OSPL reserves the right to (i) forfeit, withdraw or withhold the Prize at any time or (where the Prize has been awarded and/or utilised) (ii) reclaim the Prize or request the relevant customer to repay to OSPL the Prize at any time. No person shall be entitled to any payment or compensation from OSPL should any Prize be forfeited, withdrawn, withheld or if any Prize is reclaimed by OSPL or a customer is asked to repay to OSPL the relevant Prize for whatsoever reasons.
- 13) OSPL may, at any time at its absolute discretion, without notice or assigning any reason therefore, (a) change the amount of the Prize or (b) replace or substitute the Prize with any other prize/gift. OSPL may also at any time at its sole and absolute discretion, without notice or assigning any reason therefore, terminate the Lucky Draw or vary, supplement, amend or modify any one or more of these terms and conditions in any manner as it shall deem fit. OSPL shall not be liable to any person for any claims, costs, expenses, loss or damage suffered by any person as a result of the aforementioned matters.
- 14) The Prize is strictly not transferable to any party whatsoever. Further, the Prize is also not exchangeable for cash, other form of credit, or otherwise unless otherwise permitted by OSPL in its sole and absolute discretion.
- 15) By participating in the Lucky Draw, the customers agree to be bound by the official rules, regulations and decisions of OSPL and by these Terms and Conditions. Failure to comply with these Terms and Conditions will result in disqualification of the customers from the Lucky Draw.
- 16) Participants in the Lucky Draw will not be eligible to participate in other promotions conducted by OSPL unless otherwise approved by OSPL.
- 17) OSPL shall not be responsible for any loss to or damage incurred or suffered by any customer or any other person in connection with this Lucky Draw, howsoever arising, including, without limitation, any error in computing trade commissions and trades, any breakdown or malfunction in any computer system or equipment or any notice which is misdirected or lost in the post.
- 18) The decision of OSPL on all matters relating to the Lucky Draw shall be final and no correspondence or queries will be entertained.
- 19) In the event of any inconsistency between these terms and conditions and any brochure, marketing or promotional material relating to the Lucky Draw, these terms and conditions shall prevail.
- 20) These terms and conditions shall be governed by the laws of Singapore and the participants in the Lucky Draw irrevocably submit to the non-exclusive jurisdiction of the courts of Singapore.
- 21) A person who is not a party to any agreement governed by these terms and conditions shall have no right under the Contracts (Rights of Third Parties) Act (Cap 53B) to enforce any of these terms and conditions. Without prejudice to the generality of the above, the consent of any third party is not required for any variation (including any release or compromise of any liability) or termination of these terms and conditions, notwithstanding any term herein to the contrary.
- 22) Without prejudice to these terms and conditions, all participants in the Lucky Draw expressly and irrevocably permit and authorise OSPL to disclose, reveal and divulge information regarding their particulars to the parties involved in organising, promoting and conducting the Lucky Draw.

23) In the event of any discrepancy between the English version of these terms and conditions and the translation of these terms and conditions in any other languages, the English version shall prevail.

**Important Notes**

Trading in securities can be very risky, and you may lose all or more than the amount invested or deposited. Where necessary, please seek advice from an independent financial adviser regarding the suitability of any trade or investment product taking into account your investment objectives, financial situation or particular needs before making a commitment to trade or purchase the investment product. You should consider carefully and exercise caution in making any trading decision whether or not you have received advice from any financial adviser.

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